

REQUEST/RECOMMENDATION COMPARISON SUMMARY

414 Securities Department
Biennium: 2015-2017

Bill#: SB2011

Date: 12/23/2014
Time: 12:55:41

Description	Expenditures Prev Biennium 2011-2013	Present Budget 2013-2015	2015-2017 Requested		Requested Budget 2015-2017	2015-2017 Recommended		Executive Recommendation 2015-2017
			Incr(Decr)	% Chg		Incr(Decr)	% Chg	
By Major Program								
Investor Protection	1,787,570	2,297,782	(36,876)	(1.6%)	2,260,906	221,392	9.6%	2,519,174
Total Major Programs	1,787,570	2,297,782	(36,876)	(1.6%)	2,260,906	221,392	9.6%	2,519,174
Salaries and Wages	1,492,497	1,673,763	27,901	1.7%	1,701,664	239,364	14.3%	1,913,127
Accrued Leave	0	38,927	(38,927)	(100.0%)	0	0	0.0%	0
Operating Expenses	295,073	585,092	(25,850)	(4.4%)	559,242	20,955	3.6%	606,047
Total Line Items	1,787,570	2,297,782	(36,876)	(1.6%)	2,260,906	221,392	9.6%	2,519,174
By Funding Source								
General Fund	1,723,530	2,127,782	(36,876)	(1.7%)	2,090,906	221,392	10.4%	2,349,174
Federal Funds	0	0	0	0.0%	0	0	0.0%	0
Special Funds	64,040	170,000	0	0.0%	170,000	0	0.0%	170,000
Total Funding Source	1,787,570	2,297,782	(36,876)	(1.6%)	2,260,906	221,392	9.6%	2,519,174
Total FTE	9.00	9.00	0.00	0.0%	9.00	0.00	0.0%	9.00

REQUEST/RECOMMENDATION COMPARISON DETAIL414 Securities Department
Biennium: 2015-2017

Bill#: SB2011

Date: 12/23/2014

Time: 12:55:41

Description	Expenditures Prev Biennium 2011-2013	Present Budget 2013-2015	2015-2017 Requested		Requested Budget 2015-2017	2015-2017 Recommended		Executive Recommendation 2015-2017
			Incr(Decr)	% Chg		Incr(Decr)	% Chg	
Salaries and Wages								
Salaries - Permanent	1,120,314	1,235,848	3,257	0.3%	1,239,105	3,257	0.3%	1,239,105
Health Increase	0	0	0	0.0%	0	38,860	100.0%	38,860
Retirement Increase	0	0	0	0.0%	0	9,292	100.0%	9,292
Salary Budget Adjustment	0	0	0	0.0%	0	19,719	100.0%	19,719
Salaries - Other	0	0	0	0.0%	0	53,252	100.0%	53,252
Fringe Benefits	372,183	437,915	24,644	5.6%	462,559	24,644	5.6%	462,559
Salary Increase	0	0	0	0.0%	0	75,338	100.0%	75,338
Benefit Increase	0	0	0	0.0%	0	15,002	100.0%	15,002
Total	1,492,497	1,673,763	27,901	1.7%	1,701,664	239,364	14.3%	1,913,127
Salaries and Wages								
General Fund	1,492,497	1,673,763	27,901	1.7%	1,701,664	239,364	14.3%	1,913,127
Federal Funds	0	0	0	0.0%	0	0	0.0%	0
Special Funds	0	0	0	0.0%	0	0	0.0%	0
Total	1,492,497	1,673,763	27,901	1.7%	1,701,664	239,364	14.3%	1,913,127
Accrued Leave								
Salaries - Permanent	0	38,927	(38,927)	(100.0%)	0	(38,927)	(100.0%)	0
Total	0	38,927	(38,927)	(100.0%)	0	(38,927)	(100.0%)	0
Accrued Leave								
General Fund	0	38,927	(38,927)	(100.0%)	0	(38,927)	(100.0%)	0
Federal Funds	0	0	0	0.0%	0	0	0.0%	0
Special Funds	0	0	0	0.0%	0	0	0.0%	0
Total	0	38,927	(38,927)	(100.0%)	0	(38,927)	(100.0%)	0
Operating Expenses								
Travel	58,308	59,600	0	0.0%	59,600	0	0.0%	59,600
Supplies - IT Software	4,950	15,950	(6,450)	(40.4%)	9,500	(6,450)	(40.4%)	9,500
Supply/Material-Professional	74,858	75,232	0	0.0%	75,232	0	0.0%	75,232
Food and Clothing	193	0	0	0.0%	0	0	0.0%	0
Bldg, Ground, Maintenance	389	1,500	0	0.0%	1,500	0	0.0%	1,500
Miscellaneous Supplies	182	5,500	0	0.0%	5,500	0	0.0%	5,500
Office Supplies	7,687	12,335	0	0.0%	12,335	0	0.0%	12,335
Postage	3,826	8,500	0	0.0%	8,500	0	0.0%	8,500
Printing	5,697	15,000	0	0.0%	15,000	0	0.0%	15,000
IT Equip Under \$5,000	3,807	21,590	(18,100)	(83.8%)	3,490	(18,100)	(83.8%)	3,490
Other Equip Under \$5,000	1,451	4,200	0	0.0%	4,200	0	0.0%	4,200
Office Equip & Furn Supplies	632	6,800	0	0.0%	6,800	0	0.0%	6,800

REQUEST/RECOMMENDATION COMPARISON DETAIL

414 Securities Department
Biennium: 2015-2017

Bill#: SB2011

Date: 12/23/2014

Time: 12:55:41

Description	Expenditures Prev Biennium 2011-2013	Present Budget 2013-2015	2015-2017 Requested		Requested Budget 2015-2017	2015-2017 Recommended		Executive Recommendation 2015-2017
			Incr(Decr)	% Chg		Incr(Decr)	% Chg	
Insurance	2,698	3,100	0	0.0%	3,100	0	0.0%	3,100
Rentals/Leases-Equip & Other	4,746	8,600	0	0.0%	8,600	0	0.0%	8,600
Rentals/Leases - Bldg/Land	10,712	12,500	0	0.0%	12,500	0	0.0%	12,500
Repairs	910	2,200	0	0.0%	2,200	0	0.0%	2,200
IT - Data Processing	54,263	32,600	0	0.0%	32,600	0	0.0%	32,600
IT - Communications	20,434	26,300	0	0.0%	26,300	0	0.0%	26,300
IT Contractual Svcs and Rprs	6,145	10,900	(1,300)	(11.9%)	9,600	45,505	417.5%	56,405
Professional Development	16,960	35,435	0	0.0%	35,435	0	0.0%	35,435
Operating Fees and Services	3,907	51,750	0	0.0%	51,750	0	0.0%	51,750
Fees - Professional Services	12,318	175,500	0	0.0%	175,500	0	0.0%	175,500
Total	295,073	585,092	(25,850)	(4.4%)	559,242	20,955	3.6%	606,047
Operating Expenses								
General Fund	231,033	415,092	(25,850)	(6.2%)	389,242	20,955	5.0%	436,047
Federal Funds	0	0	0	0.0%	0	0	0.0%	0
Special Funds	64,040	170,000	0	0.0%	170,000	0	0.0%	170,000
Total	295,073	585,092	(25,850)	(4.4%)	559,242	20,955	3.6%	606,047
Total Expenditures	1,787,570	2,297,782	(36,876)	(1.6%)	2,260,906	221,392	9.6%	2,519,174
Funding Sources								
General Fund								
Total	1,723,530	2,127,782	(36,876)	(1.7%)	2,090,906	221,392	10.4%	2,349,174
Special Funds								
Investor Education & Technology	64,040	170,000	0	0.0%	170,000	0	0.0%	170,000
Total	64,040	170,000	0	0.0%	170,000	0	0.0%	170,000
Total Funding Sources	1,787,570	2,297,782	(36,876)	(1.6%)	2,260,906	221,392	9.6%	2,519,174
FTE Employees	9.00	9.00	0.00	0.0%	9.00	0.00	0.0%	9.00

CHANGE PACKAGE SUMMARY

414 Securities Department
 Biennium: 2015-2017

Bill#: SB2011

Date: 12/23/2014
 Time: 12:55:41

Description	FTE	General Fund	Federal Funds	Special Funds	Total Funds
Base Budget Changes					
One Time Budget Changes					
R-B 1 Retirement Leave Payout	0.00	53,252	0	0	53,252
A-E 2 IT - Replacement - Upgrade	0.00	(25,850)	0	0	(25,850)
Total One Time Budget Changes	0.00	27,402	0	0	27,402
Ongoing Budget Changes					
R-A 1 Desktop Support	0.00	46,805	0	0	46,805
R-A 100 Executive Compensation Package Adjustment	0.00	19,719	0	0	19,719
Base Payroll Change	0.00	(11,026)	0	0	(11,026)
Compensation Changes	0.00	138,492	0	0	138,492
Total Ongoing Budget Changes	0.00	193,990	0	0	193,990
Total Base Budget Changes	0.00	221,392	0	0	221,392

RECOMMENDATION DETAIL BY PROGRAM

414 Securities Department

Bill#: SB2011

Date: 12/23/2014

Time: 12:55:41

Biennium: 2015-2017

Program: Investor Protection			Reporting Level: 00-414-100-00-00-00-00000000					
Description	Expenditures Prev Biennium 2011-2013	Present Budget 2013-2015	2015-2017 Requested		Requested Budget 2015-2017	2015-2017 Recommended		Executive Recommendation 2015-2017
			Incr(Decr)	% Chg		Incr(Decr)	% Chg	
Salaries and Wages								
Salaries - Permanent	1,120,314	1,235,848	3,257	0.3%	1,239,105	3,257	0.3%	1,239,105
Health Increase	0	0	0	0.0%	0	38,860	100.0%	38,860
Retirement Increase	0	0	0	0.0%	0	9,292	100.0%	9,292
Salary Budget Adjustment	0	0	0	0.0%	0	19,719	100.0%	19,719
Salaries - Other	0	0	0	0.0%	0	53,252	100.0%	53,252
Fringe Benefits	372,183	437,915	24,644	5.6%	462,559	24,644	5.6%	462,559
Salary Increase	0	0	0	0.0%	0	75,338	100.0%	75,338
Benefit Increase	0	0	0	0.0%	0	15,002	100.0%	15,002
Total	1,492,497	1,673,763	27,901	1.7%	1,701,664	239,364	14.3%	1,913,127
Salaries and Wages								
General Fund	1,492,497	1,673,763	27,901	1.7%	1,701,664	239,364	14.3%	1,913,127
Federal Funds	0	0	0	0.0%	0	0	0.0%	0
Special Funds	0	0	0	0.0%	0	0	0.0%	0
Total	1,492,497	1,673,763	27,901	1.7%	1,701,664	239,364	14.3%	1,913,127
Accrued Leave								
Salaries - Permanent	0	38,927	(38,927)	(100.0%)	0	(38,927)	(100.0%)	0
Total	0	38,927	(38,927)	(100.0%)	0	(38,927)	(100.0%)	0
Accrued Leave								
General Fund	0	38,927	(38,927)	(100.0%)	0	(38,927)	(100.0%)	0
Federal Funds	0	0	0	0.0%	0	0	0.0%	0
Special Funds	0	0	0	0.0%	0	0	0.0%	0
Total	0	38,927	(38,927)	(100.0%)	0	(38,927)	(100.0%)	0
Operating Expenses								
Travel	58,308	59,600	0	0.0%	59,600	0	0.0%	59,600
Supplies - IT Software	4,950	15,950	(6,450)	(40.4%)	9,500	(6,450)	(40.4%)	9,500
Supply/Material-Professional	74,858	75,232	0	0.0%	75,232	0	0.0%	75,232
Food and Clothing	193	0	0	0.0%	0	0	0.0%	0
Bldg, Ground, Maintenance	389	1,500	0	0.0%	1,500	0	0.0%	1,500
Miscellaneous Supplies	182	5,500	0	0.0%	5,500	0	0.0%	5,500
Office Supplies	7,687	12,335	0	0.0%	12,335	0	0.0%	12,335
Postage	3,826	8,500	0	0.0%	8,500	0	0.0%	8,500
Printing	5,697	15,000	0	0.0%	15,000	0	0.0%	15,000
IT Equip Under \$5,000	3,807	21,590	(18,100)	(83.8%)	3,490	(18,100)	(83.8%)	3,490
Other Equip Under \$5,000	1,451	4,200	0	0.0%	4,200	0	0.0%	4,200

RECOMMENDATION DETAIL BY PROGRAM

414 Securities Department
Biennium: 2015-2017

Bill#: SB2011

Date: 12/23/2014

Time: 12:55:41

Program: Investor Protection			Reporting Level: 00-414-100-00-00-00-00000000					
Description	Expenditures Prev Biennium 2011-2013	Present Budget 2013-2015	2015-2017 Requested		Requested Budget 2015-2017	2015-2017 Recommended		Executive Recommendation 2015-2017
			Incr(Decr)	% Chg		Incr(Decr)	% Chg	
Office Equip & Furn Supplies	632	6,800	0	0.0%	6,800	0	0.0%	6,800
Insurance	2,698	3,100	0	0.0%	3,100	0	0.0%	3,100
Rentals/Leases-Equip & Other	4,746	8,600	0	0.0%	8,600	0	0.0%	8,600
Rentals/Leases - Bldg/Land	10,712	12,500	0	0.0%	12,500	0	0.0%	12,500
Repairs	910	2,200	0	0.0%	2,200	0	0.0%	2,200
IT - Data Processing	54,263	32,600	0	0.0%	32,600	0	0.0%	32,600
IT - Communications	20,434	26,300	0	0.0%	26,300	0	0.0%	26,300
IT Contractual Svcs and Rprs	6,145	10,900	(1,300)	(11.9%)	9,600	45,505	417.5%	56,405
Professional Development	16,960	35,435	0	0.0%	35,435	0	0.0%	35,435
Operating Fees and Services	3,907	51,750	0	0.0%	51,750	0	0.0%	51,750
Fees - Professional Services	12,318	175,500	0	0.0%	175,500	0	0.0%	175,500
Total	295,073	585,092	(25,850)	(4.4%)	559,242	20,955	3.6%	606,047
Operating Expenses								
General Fund	231,033	415,092	(25,850)	(6.2%)	389,242	20,955	5.0%	436,047
Federal Funds	0	0	0	0.0%	0	0	0.0%	0
Special Funds	64,040	170,000	0	0.0%	170,000	0	0.0%	170,000
Total	295,073	585,092	(25,850)	(4.4%)	559,242	20,955	3.6%	606,047
Total Expenditures	1,787,570	2,297,782	(36,876)	(1.6%)	2,260,906	221,392	9.6%	2,519,174
Funding Sources								
General Fund								
Total	1,723,530	2,127,782	(36,876)	(1.7%)	2,090,906	221,392	10.4%	2,349,174
Special Funds								
244 Investor Education & Technology	64,040	170,000	0	0.0%	170,000	0	0.0%	170,000
Total	64,040	170,000	0	0.0%	170,000	0	0.0%	170,000
Total Funding Sources	1,787,570	2,297,782	(36,876)	(1.6%)	2,260,906	221,392	9.6%	2,519,174
FTE Employees	9.00	9.00	0.00	0.0%	9.00	0.00	0.0%	9.00