

**1080 - Secretary Of State****Project Name: SOS File 2.0**

**Description** North Dakota's Office of the Secretary of State (SOS) has partnered with the North Dakota Information Technology Department (ITD) to build from scratch a web-based software system to manage SOS processes for Central Indexing, Licensing and Registrations, and Campaign Finance. This new business solution will allow SOS personnel, public users and other third parties the ability to view, update, search, and manage information related to these lines of business via web browsers. Additionally, the new web server-based application will replace and retire the existing mainframe, AS400, and WebSphere based legacy systems.

**Overall Project Status: Yellow**

**LPO Summary:** The project is falling behind schedule as the team works toward finishing work for phase one -- the quantity of work required to complete it has gone beyond original estimates. Analyzing the impacts of this scenario, the project team is also reviewing the depth of future phases of the project to determine if those, too, will exceed initial time and budget expectations. This analysis is in progress and will lead to a re-planning effort for the project. Additional work this period includes analysis of the public "system framework" and the Campaign Finance component.

**Schedule Variance:** 20.9% Behind **Budget Variance:** 12.2% Over

Base Start	Base End	Revised End	Total Months	Base Budget	Revised Budget	Actual	EAC
05/04/2012	10/03/2014	N/A	29	\$ 3,468,428	\$ 3,678,334	\$ 2,742,492	\$ 4,145,088

**1120 - Information Technology Department****Project Name: North Dakota Statewide Longitudinal Data System (formerly EdSmart)**

**Description** The State of North Dakota (ND) is working towards the goals of improving student achievement in K-12 schools and ensuring that K-12 students transition successfully from K-12 to postsecondary education and the workforce. Currently, each agency collects some type of performance data, however data collected within each agency does not always provide a full picture of longitudinal outcomes (how participants fared over a length of time). While ND has experience linking educational and workforce data with the current Follow-up Information on North Dakota Education and Training (FINDET) System, ND needs to develop an architecture to provide the longitudinal data required to perform the research for program evaluation over time. ND has made progress toward planning a Statewide Longitudinal Data System (SLDS) and is prepared to initiate the building of this system.

**Overall Project Status: Green**

**LPO Summary:** Resources are focusing effort on the SFSF requirements as they are due at the end of 2013. Planning for the postsecondary grant work is also in progress and will be added into this project as a scope change once the schedule and budget are known.

**Schedule Variance:** 8.4% Behind **Budget Variance:** 28.0% Under

Base Start	Base End	Revised End	Total Months	Base Budget	Revised Budget	Actual	EAC
02/01/2011	12/21/2012	06/30/2015	52	\$ 4,691,649	\$ 4,654,257	\$ 1,148,973	\$ 4,207,430

## 1120 - Information Technology Department

**Project Name:** HITC Health Information Network

**Description** A Health Information Network (HIN) provides the capability to electronically move clinical information among disparate health care information systems while maintaining the meaning of the information being exchanged. ITD, on behalf of the Health Information Technology Advisory Committee (HITAC), is the entity that will administer the State of North Dakota's HIN cooperative effort. The project will be completed in two phases: In Phase One, the objective will be to develop and finalize strategic and operational plans. Upon approval by the Office of the National Coordinator for Health Information Technology (ONC), Phase Two will commence. The objective of Phase Two will be the implementation of the Health Information Exchange model identified in the Strategic and Operational Plan.

**Overall Project Status:** Green

**LPO Summary:** During this quarter, the core Clinical Portal solution was delivered, integrations with several participants are in progress, and other functionality continues to be planned. The project is progressing well within budget and schedule variance thresholds.

**Schedule Variance:** 2.5 % Behind      **Budget Variance:** 3.8 % Under

Base Start	Base End	Revised End	Total Months	Base Budget	Revised Budget	Actual	EAC
04/22/2013	03/20/2014	N/A	11	\$ 4,732,246	N/A	\$ 3,516,505	\$ 4,743,191

## 2010 - Department of Public Instruction

**Project Name:** North Dakota State Longitudinal Education Data System

**Description** The project will implement a data warehouse and analysis tools to evaluate and deliver data. This data will be comprised of kindergarten through secondary education (P-12) data to meet the needs of federal and state reporting, the No Child Left Behind Act (NCLB), policymakers, school districts, educators, and the public. The purpose of the project is to provide the data and analysis tools which can be used to measure the effectiveness of policies, curriculums, and programs intended to improve student outcomes. The data will be usable by other State entities for their individual initiatives. Due to the complexity of the scope of work, it was determined to divide this work into two separate projects: a planning project (ndSLEDS Phase 1) and an execution project (ndSLEDS Phases 2-5).

**Overall Project Status:** Green

**LPO Summary:** As of this quarter, the grant dollars have all been expended, due to the indirect costs outside of the project exceeding the amount set aside at the receipt of the grant, and the costs subsequently coming out of the project budget. Therefore, while there are still dollars showing in the project budget below, grant funds no longer remain to fund the project. Appropriate ongoing work will likely move to the SLDS project and the remaining grant requirement will be funded out of the 2012 grant.

**Schedule Variance:** 0.6 % Behind      **Budget Variance:** 16.9 % Over

Base Start	Base End	Revised End	Total Months	Base Budget	Revised Budget	Actual	EAC
12/01/2010	06/28/2013	N/A	30	\$ 4,915,680	\$ 4,728,851	\$ 4,625,552	\$ 5,399,032

## 2010 - Department of Public Instruction

**Project Name:** eTranscripts

**Description** One of the goals established by the K-12 Domain of the ND Statewide Longitudinal Data System is to establish an electronic system that will enable school districts to transfer student transcript information to post-secondary institutions or ND school districts. Adding electronic transcript capabilities will enable school districts to exchange student information among each other as students transfer, facilitating higher data quality and continuation of key student services, especially for mobile student populations. The eTranscripts project will deliver an automated system to enable this electronic transcript information transfer.

**Overall Project Status:** Green

**LPO Summary:** Schools have been added to the pilot and the team is making changes to the system per the schools' feedback; working through the pilot schools' needs will provide a better product to the State. The release to interested schools, institutions, and students/parents is planned for November/December 2013.

**Schedule Variance:** 0.3 % Behind **Budget Variance:** 1.4 % Over

Base Start	Base End	Revised End	Total Months	Base Budget	Revised Budget	Actual	EAC
09/19/2011	06/28/2013	N/A	21	\$ 502,000	\$ 608,265	\$ 510,195	\$ 615,594

## 3010 - Department of Health

**Project Name:** ND Immunization Information System Enhanced Interoperability

**Description** The North Dakota Immunization Information System (NDIIS) is a confidential, population-based, computerized information system that collects vaccination data about all North Dakotans. The NDIIS is an important tool to increase and sustain high vaccination coverage by consolidating vaccination records of children from multiple health care providers, providing immunization coverage rate reports for providers, and providing official immunization forms.

This project is the development of real-time, bi-directional electronic interfaces between the NDIIS and various Electronic Health Record (EHR) systems throughout the state; these interfaces will increase interoperability between the systems while reducing duplication of data entry practices.

**Overall Project Status:** Green

**LPO Summary:** The project execution activities completed effective September 30, 2013. A sample spanning the month of September indicates that the integration of 5 major health care providers has resulted in the electronic query and submission of immunization data for approximately 64% of all kids and 63% of all doses administered to kids 6 and under via interoperability. The project is effectively closed and the team will be conducting closeout activities during the fourth quarter.

**Schedule Variance:** 8.3 % Behind **Budget Variance:** 2.5 % Under

Base Start	Base End	Revised End	Total Months	Base Budget	Revised Budget	Actual	EAC
11/01/2010	07/13/2012	08/31/2013	33	\$ 569,634	\$ 609,021	\$ 570,611	\$ 570,611

## 3010 - Department of Health

**Project Name:** Women, Infants, and Children Electronic Benefits Transfer

**Description** The Special Supplemental Nutrition Program for Women, Infants and Children (WIC) is administered by the United States Department of Agriculture (USDA) Food and Nutrition Service (FNS). At the state level, the WIC Program is administered by the State WIC office, located within the Department of Health. The State WIC program contracts with local public health departments, hospitals, clinics and some non-profit agencies to operate local clinics that provide families with nutrition screening and education and issue WIC benefits, currently via paper checks that the families then bring to their local grocery store for their foods. The goal of this project is to determine the viability of Electronic Benefits Transfer (EBT) for the North Dakota WIC Program by conducting a feasibility study. The two outcomes of the feasibility study will be whether or not EBT is a viable solution for WIC and, if so, which technology is the best solution.

**Overall Project Status:** Green

**LPO Summary:** This project continues to perform well. The majority of deliverables have been completed and are under review at state and federal levels.

**Schedule Variance:** 0.4 % Behind      **Budget Variance:** 19.1 % Under

Base Start	Base End	Revised End	Total Months	Base Budget	Revised Budget	Actual	EAC
11/29/2012	11/29/2013	N/A	12	\$ 258,697	N/A	\$ 176,892	\$ 344,420

## 3130 - Veterans Home

**Project Name:** Electronic Medical Records

**Description** The North Dakota Veterans Home (NDVH) plans to upgrade its medical records program to a new electronic health records (EHR) program. The EHR system includes point of care (POC), electronic medication (eMAR), electronic treatments (eTAR), minimum data set (MDS) process, care planning, assessments, charting, reports, physician orders, electronic lab requests / results, resident census, accounts receivable, trust accounts and electronic insurance claims. This EHR system would comply with federal electronic health records requirements, state health information exchange and auditor's requirements.

**Overall Project Status:** Green

**LPO Summary:** This project continues to perform well. The sponsor is working with the vendor on a minor change order which will allow the Veteran's Home to submit timely Minimum Data Set (MDS) reports in accordance with state and federal mandates.

**Schedule Variance:** 6.3 % Ahead      **Budget Variance:** 7.3 % Under

Base Start	Base End	Revised End	Total Months	Base Budget	Revised Budget	Actual	EAC
08/01/2013	04/14/2014	N/A	8	\$ 373,465	N/A	\$ 144,909	\$ 336,050

## 3250 - Department of Human Services

**Project Name:** NDVerify

**Description** The North Dakota Department of Human Services (DHS) will use technology as the primary focus to provide statewide outreach, enrollment and retention of recipients receiving/participating in Medicaid, Children's Health Insurance Program (CHIP), Supplemental Nutrition Assistance Program (SNAP) and/or Temporary Assistance for Needy Families (TANF) benefits.

The primary objective is to create a single, web-based portal application that will provide eligibility workers with intelligent, filtered, well organized information from many different sources for the purpose of determining eligibility for medical and economic assistance programs. The simplified process will ultimately lead to a higher retention of recipients in their associated programs. The NDVerify tool will initially function in a stand-alone capacity; over time the NDVerify tool will be integrated into the eligibility replacement system, where it will become an integral component of eligibility determination.

**Overall Project Status:** Green

**LPO Summary:** The project completed all development work and continued working a pilot program with Morton County. It is expected that the project will complete during the fourth quarter.

**Schedule Variance:** 8.1 % Behind      **Budget Variance:** 11.3 % Under

Base Start	Base End	Revised End	Total Months	Base Budget	Revised Budget	Actual	EAC
07/31/2012	10/01/2013	N/A	15	\$ 658,735	\$ 666,710	\$ 579,812	\$ 586,202

## 3250 - Department of Human Services

**Project Name:** Medicaid Systems Project

**Description** This project is to replace the current Medicaid MMIS, POS & DSS/DW systems.

**Overall Project Status:** Red

**LPO Summary:** The project is not operating with appropriate project management controls in place. The last approved detailed schedule (May 2012) is no longer being followed. The project team has worked on an acceptable alternative detailed schedule, but has not come to an agreement on the full schedule. The project is doing work based upon the partial revised schedule. The project missed the October 2013 implementation date and a revised detailed schedule is needed to identify a new projected implementation date. The project is currently overlapping testing phases; increasing risks in the project success and adding additional strain on state staff. As of the September 30 report, there were still 427 defects and over 1,521 System Integration Test cases in failed status. (That is a increase of 7 defects and decrease of 979 test cases from last quarter's report respectively.) Xerox is currently passing an average of 54 test cases per week (down 144 from Q2) and a net resolution of an average of 4 defects per week (down 1 from Q2). The Executive Steering Committee is engaged in meetings and decisions about project actions and vendor communication. The project is reporting 8.0% BEHIND schedule and 4.2% UNDER budget from the revised baseline. Without a new scheduled end date, we are unable to calculate the estimated variance measured against the original baseline. As of 9/30/13 variance was 148% BEHIND the original baseline schedule.

**Schedule Variance:** 8.0 % Behind      **Budget Variance:** 4.2 % Under

Base Start	Base End	Revised End	Total Months	Base Budget	Revised Budget	Actual	EAC
07/01/2005	07/31/2009	10/01/2013	99	\$ 62,529,371	\$ 81,918,736	\$ 55,845,447	\$ 81,918,736

## 3250 - Department of Human Services

**Project Name:** Vocational Rehabilitation Information System Replacement

**Description** The Division of Vocational Rehabilitation of the Department of Human Services (DHS) will replace the current application known as Vocational Rehabilitation Information System (VRIS). The intent of the effort is to have a web-based, modern, case management system and to transition the entire Division to use the new software.

**Overall Project Status:** Green

**LPO Summary:** The project has successfully completed the majority of the pieces of work that normally hold the most risk for a project. With 6 months remaining, the system setup, system configuration, reports configuration, interface and customization design, customizations and interface development and release and data conversion efforts are all basically complete. The remaining last few pieces of Peoplesoft and SSR interface code will be implemented mid October. The team is fully underway with the testing efforts and the development of end user training materials. Although the schedule variance shows behind, the actual efforts and tasks are aligned and progressing for a March 1, 2014 go live date.

**Schedule Variance:** 9.2 % Behind      **Budget Variance:** 31.3 % Under

Base Start	Base End	Revised End	Total Months	Base Budget	Revised Budget	Actual	EAC
11/01/2012	04/30/2014	N/A	17	\$ 2,062,689	N/A	\$ 675,578	\$ 1,540,217

## 3250 - Department of Human Services

**Project Name:** Eligibility System Modernization

**Description** The Department of Human Services currently determines eligibility for medical assistance, cash assistance, supplemental nutrition, child care assistance and heating assistance in four separate information systems. Two of these systems will be heavily impacted by the modifications required to comply with the 2010 Patient Protection & Affordable Care Act (ACA). The objective of this project is to replace the current eligibility systems with a single system that will meet the requirements of the ACA as well as streamline the application process for constituents.

**Overall Project Status:** Yellow

**LPO Summary:** This project is being done using a phased approach, phase 1 will be the work associated with the Affordable Care Act (ACA) and the remaining phase(s) will be the work associated with Medicaid, TANF, LIHEAP, Child Care and SNAP. The project continues to focus its efforts on completing the work associated with ACA. Currently the projected work for ACA is running behind schedule, therefore requiring the project to implement various contingency plans to ensure the State meets the federal deadlines. The project team continues to assess the risks identified by IV&V during their assessments of the project. The completion date(s) for the remaining phase(s) still have yet to be determined. Therefore, the Executive Steering Committee has not baselined the entire project until the remaining completion dates have been determined. In the interim, the project continues to operate out of compliance with STD009-05 as they are executing without a completed project plan. However, it should be noted that the work related to ACA has been baselined and is being controlled effectively including monitoring of cost/schedule variance.

**Schedule Variance:** 15.7 % Behind      **Budget Variance:** 26.7 % Under

Base Start	Base End	Revised End	Total Months	Base Budget	Revised Budget	Actual	EAC
05/07/2013	06/30/2017	N/A	49	\$ 59,290,077	N/A	\$ 4,483,557	

**3800 - Job Service North Dakota****Project Name:** Workforce Data Quality Initiative

**Description** The project scope will include activities required to design, develop, and implement the Workforce Data Quality Initiative (WDQI) data warehouse. The WDQI data warehouse will be used in conjunction with the SLDS data warehouse that is currently being developed as the SLDS project. In the long term, the SLDS data warehouse will provide all FINDET reporting needs. WDQI will be developed in 3 phases. Phase 1 will consist of activities to create the data warehouse for Workforce Investment Act (WIA) adult, WIA youth, WIA dislocated workers, Trade Act Assistance (TAA), and Wagner-Peyser (WP). Phase 2 will enhance the data warehouse by adding Workforce 20/20, New Jobs training, Job Opportunities/Basic Skills, and Unemployment Insurance (UI) claims/payment data sets. Phase 3 will create 4 deliverable reports that will demonstrate the ability to analyze data from multiple agencies for the purpose of program improvement, outcome confirmations, and statistical analysis.

**Overall Project Status:** Green

**LPO Summary:** Five additional datasets related to resumes and external job openings were developed, tested, and added to the warehouse. There is an active risk that there may not be enough time to complete phase III by the end of the grant. The project managers for Job Service and OtisEd have daily status meetings to discuss what work needs to be completed and then follow-up with team members to ensure work is completed timely.

**Schedule Variance:** 5.9% Behind **Budget Variance:** 18.6% Under

Base Start	Base End	Revised End	Total Months	Base Budget	Revised Budget	Actual	EAC
02/15/2012	11/27/2013	N/A	21	\$ 1,005,000	N/A	\$ 855,416	\$ 1,005,000

**4710 - Bank of North Dakota****Project Name:** CashPlus

**Description** BND Accounting/Treasury Services will be upgrading their current CASHPLUS application from v3.6 to v3.84. BND will also be installing and configuring the Commercial Loan and Fed Funds Sweep enhancement offered by Fundtech to this application. This implementation will provide Fed Funds Sweep services to BND partner banks and financial institutions can manage their accounts on-line. By offering these services BND will remain competitive in the Fed Fund Sweep and Commercial Loan Services area. BND will be providing better customer service to their customers by allowing online access to manage accounts.

**Overall Project Status:** Green

**LPO Summary:** The BND CASHPlus Project is currently delayed a few weeks due to Fed Fund Sweep Test availability and outstanding issues. Fundtech will deliver outstanding fixes on 10/31/13 for Cycle 3 user acceptance testing (UAT). The team, even with this minor delay, are expecting to get back on schedule for a 12/6/13 GO LIVE.

Also, during UAT, the project team has identified a possible needed enhancement. Fundtech will develop this enhancement at a cost of \$21,600 and will require a minimum of two weeks to complete once approved; not expected to effect the planned GO LIVE of 12/6/13. The project team is working with the vendor on this change request. The project team will bring this change request before the Executive Team on 10/29/13 for approval.

**Schedule Variance:** 6.9% Behind **Budget Variance:** 3.0% Over

Base Start	Base End	Revised End	Total Months	Base Budget	Revised Budget	Actual	EAC
08/06/2012	04/12/2013	04/23/2014	20	\$ 459,900	N/A	\$ 447,227	\$ 447,227

## 4850 - Workforce Safety and Insurance

**Project Name:** Information Technology Transformation Program

**Description** WSI's existing workers' compensation system is used for processing and administering approximately 20,000 policies and \$85 million in annual claims. Due to the age and decreasing efficiency of the system, WSI is seeking to purchase and configure a COTS system.

**Overall Project Status:** Red

**LPO Summary:** This project has been terminated, but will continue to report until the lessons learned exercise is complete. Closeout is expected to occur during Q4 2013.

**Schedule Variance:** 53.4 % Behind **Budget Variance:** 6.2 % Under

Base Start	Base End	Revised End	Total Months	Base Budget	Revised Budget	Actual	EAC
12/01/2007	11/30/2009	12/03/2012	60	\$ 12,813,171	\$ 17,813,289	\$ 17,133,609	\$ 17,133,609

## 5040 - Highway Patrol

**Project Name:** Electronic Permitting

**Description** In accordance with Senate Bill 2308, the goal of this project is to combine all the aspects of North Dakota Highway Patrol (NDHP) permitting into one electronic system and have that system be able to integrate with an enhanced automated routing system.

**Overall Project Status:** Green

**LPO Summary:** This project has completed on time and considerably under budget while meeting and often exceeding all of its stated objectives. The closeout report is underway.

**Schedule Variance:** 0.7 % Behind **Budget Variance:** 24.6 % Under

Base Start	Base End	Revised End	Total Months	Base Budget	Revised Budget	Actual	EAC
09/09/2011	10/02/2013	N/A	25	\$ 2,258,795	N/A	\$ 1,591,156	\$ 1,591,156

## 5400 - Adjutant General

**Project Name:** Statewide Seamless Base Map

**Description** To obtain a statewide, seamless, spatially accurate, and complete base map dataset of the State of North Dakota that is to be accessible by all state agencies. The State, local and tribal governments, and the private and public sectors would benefit from a seamless base map data set that is spatially accurate and contains the necessary attributes to be used by multiple applications and users that have a need to leverage mapping services.

**Overall Project Status:** Green

**LPO Summary:** This project continues to progress well. The majority of the planning for completing the western and eastern county work was completed with the vendor GeoComm.

**Schedule Variance:** 3.0 % Behind **Budget Variance:** 8.7 % Under

Base Start	Base End	Revised End	Total Months	Base Budget	Revised Budget	Actual	EAC
07/01/2010	09/28/2012	04/22/2015	57	\$ 1,959,809	\$ 2,608,990	\$ 2,059,845	\$ 4,150,000

**6010 - Department of Commerce****Project Name: Website Rewrite**

**Description** The North Dakota Department of Commerce (Commerce) manages multiple websites across its divisions. The main sites of the agency (NDCommerce.com, NDTourism.com, NDCommunityServices.com, NDBusiness.com, NDWorkforce.com, and ExperienceND.com) were last reviewed and built over a period of five to seven years ago. To maintain both progressive and friendly web structures, Commerce will redesign its main websites, along with backend feeder sites, to better meet advancements that have been made in technology since 2006. These efforts will help Commerce address two critical issues: 1) move all sites from Active Server Pages (.asp) to new languages to better integrate with internal data management, and 2) allow Commerce to have a progressive online presence that will better support its mission to attract, retain, and expand wealth in North Dakota.

**Overall Project Status:** Green

**LPO Summary:** The Tourism website having gone live last quarter, work continues on the Commerce end with the Commerce vendor having completed website development, and the vendor and Commerce staff working on quality assurance, creating content, and building out the websites, along with development of the data center.

**Schedule Variance:** 9.8 % Behind      **Budget Variance:** 7.5 % Under

Base Start	Base End	Revised End	Total Months	Base Budget	Revised Budget	Actual	EAC
09/14/2012	12/04/2013	N/A	15	\$ 512,780	\$ 536,030	\$ 489,182	\$ 495,759