

ISSUER-DEALER/AGENT RENEWAL APPLICATION
 NORTH DAKOTA SECURITIES DEPARTMENT
 SFN 51532 (12-2021)

FORM S-6
 Securities Department
 600 E Boulevard Ave Dept 414
 Bismarck ND 58505
 (701) 328-2910
www.securities.nd.gov

INSTRUCTIONS: Please complete the Issuer-Dealer information even if only applying for Agent Renewal.

ISSUER-DEALER RENEWAL and/or AGENT RENEWAL

1. Name of Issuer		Telephone Number
Address		
City	State	ZIP Code
Contact Person	E-mail Address	
2. List the name of each registered agent to be renewed (attach a separate sheet if needed). Any NEW agents must apply for registration using the Form S-5, submitted through the ND Securities Filing Portal.		
_____ _____ _____		
3. Describe the principal type(s) of business engaged in by the Issuer and type(s) of securities being sold.		
4. Renewal fees must be paid through the ND Securities Filing Portal and must accompany this application. Issuer-Dealer \$200 Each Agent \$60		
5. By submitting this application, the undersigned hereby certifies that since the last renewal day, the North Dakota Securities Department has been notified by this Issuer in writing of all material changes, if any, of substantial nature that have taken place affecting the Issuer, its officers, directors, partners, managers, agents, and employees. This would include any disciplinary action or other proceedings that have been taken by any federal or state agency or by any national securities exchange or national securities association. The undersigned further certifies that in all cases where agents have left our employ for whatever reason, a termination notice has been filed with the North Dakota Securities Department.		
Dated this _____ day of _____, year _____.		
(Corporate Seal)		Print Name of Authorized Signatory
		Signature
		Title