SURPLUS LINES ANNUAL TAX STATEMENT

NORTH DAKOTA INSURANCE DEPARTMENT

SFN 17419 (12-2015)

DEPARTMENT USE ONLY
Tax/Fee paid, if different:
Penalty/Interest Paid:

Producer Name: (REQUIRED)		
Producer Street Address: (REQUIRED)		
City:	State:	ZIP Code:
Preparer's Name:		
Telephone Number:	E-Mail Address:	

PLEASE TYPE ALL DATA ON FORM

Tax Period (1/1 - 12/31):
National Producer Number (NPN) or License Number for Business Entity

Gross Direct Premiums and fees received during calendar year less return premiums, refunds, and abatements (must agree to SFN 4824)	$
Less Adjustments (explain in detail)	$
Total Taxable Premiums and Fees (must agree with SFN 4824)	$
Tax Liability (must agree to SFN 4824)	$

Instructions:

1. Complete and file this form by March 1st of each year for the preceding calendar year.
2. Form SFN 4824 must be completed and attached to this form along with payment of the premium tax.
3. All fees and assessments are subject to tax. Include all fees in the figures reported on this form.
4. Include all policies with an effective date within the calendar year for which the report is filed regardless of when the premium is collected.
5. Tax rates:
   - Where policies cover only properties, risks or exposers located or to be performed in this state, or where policies have an effective date on or after June 1, 2015 and cover properties, risks or exposers located or to be performed both in and out of this state, the rate is 1. 75%.
   - Where policies have an effective date prior to June 1, 2015 and cover properties, risks or exposers located or to be performed both in and out of this state:
     - the tax rate on the covered properties, risks or exposers located or to be performed in this state is 1.75%, and
     - the tax rate on properties, risks or exposers located or to be performed out of this state is the particular tax rate of the state or territory of the properties, risks or exposers located or to be performed in that other state or territory.

Send form and tax to: North Dakota Insurance Department, 600 E. Boulevard Avenue Dept. 401, Bismarck, ND 58505-0320. Make check payable to North Dakota Insurance Department.

Producers can also submit tax filings electronically through OPTins (Online Premium Tax for Insurance), a product of the NAIC. Contact OPTins at optinsmktg@naic.org or 816.783.8787 to implement OPTins at your company. Visit http://www.optins.org for more information. Failure to make and file the required annual report or to pay the required taxes when due may result in a fine of $25 per day for each day of the delinquency.

I declare under the penalties provided by law that to the best of my knowledge this is a true, complete, and correct return.

Signature:	Date: