**SIG Transformation Model**

**Required Components:**

An LEA implementing a transformation model must fully and completely address in their application to the state department how they will comply with the following requirements:

1. Replace the principal who led the school prior to commencement of the transformation model;

2. Use rigorous, transparent, and equitable evaluation systems for teachers and principals that —
   
   (a) Take into account data on student growth as a significant factor as well as other factors, such as multiple observation-based assessments of performance and ongoing collections of professional practice reflective of student achievement and increased high school graduation rates; and

   (b) Are designed and developed with teacher and principal involvement;

3. Identify and reward school leaders, teachers, and other staff who, in implementing this model, have increased student achievement and high school graduation rates and identify and remove those who, after ample opportunities have been provided for them to improve their professional practice, have not done so;

4. Provide staff ongoing, high-quality, job-embedded professional development that is aligned with the school’s comprehensive instructional program and designed with school staff to ensure they are equipped to facilitate effective teaching and learning and have the capacity to successfully implement school reform strategies; and

5. Implement such strategies as financial incentives, increased opportunities for promotion and career growth, and more flexible work conditions that are designed to recruit, place, and retain staff with the skills necessary to meet the needs of the students in a transformation model.

6. Use Instructional and Student Data Reform

An LEA implementing a transformation model must:

(1) Use data to identify and implement an instructional program that is research-based and vertically aligned from one grade to the next as well as aligned with State academic standards; and

(2) Promote the continuous use of student data (such as from formative, interim, and summative assessments) in order to inform and differentiate instruction to meet the academic needs of individual students.
7. Employ Increased Learning Time

An LEA implementing a transformation model must:

(1) Establish schedules and strategies that provide increased learning time; and

(2) Provide ongoing mechanisms for family and community engagement.

8. Employ Strategies for Operational Flexibility

An LEA implementing a transformation model must:

(1) Give the school sufficient operational flexibility (such as staffing, calendars/time, and budgeting) to implement fully a comprehensive approach to substantially improve student achievement outcomes and increase high school graduation rates; and

(2) Ensure that the school receives ongoing, intensive technical assistance and related support from the LEA, the SEA, or a designated external lead partner organization (such as a school turnaround organization or an EMO).

9. Provide for Ongoing Family and Community Engagement

In general, family and community engagement means strategies to increase the involvement and contributions, in both school-based and home-based settings, of parents and community partners that are designed to support classroom instruction and increase student achievement. Examples of mechanisms that can encourage family and community engagement include the establishment of organized parent groups, holding public meetings involving parents and community members to review school performance and help develop school improvement plans, using surveys to gauge parent and community satisfaction and support for local public schools, implementing complaint procedures for families, coordinating with local social and health service providers to help meet family needs, and parent education classes (including GED, adult literacy, and ESL programs).

To develop mechanisms to support family and community engagement, an LEA may conduct a community-wide assessment to identify the major factors that significantly affect the academic achievement of students in the school, including an inventory of the resources in the community and the school that could be aligned, integrated, and coordinated to address these challenges. An LEA should try to ensure that it aligns the family and community engagement programs it implements in the elementary and secondary schools in which it is implementing the transformation model to support common goals for students over time and for the community as a whole.

10. Obtain Ongoing, Intensive Technical Assistance from the LEA, SEA, or External Partner.

The application will need to outline in detail how the school plans to obtain technical assistance from the LEA, SEA, and/or external provider.
Optional Components:

In addition to the required activities for a transformation model, an LEA may also implement other comprehensive instructional reform strategies, such as:

(1) Conducting periodic reviews to ensure that the curriculum is being implemented with fidelity, is having the intended impact on student achievement, and is modified if ineffective;

(2) Implementing a schoolwide —response-to-intervention model;

(3) Providing additional supports and professional development to teachers and principals in order to implement effective strategies to support students with disabilities in the least restrictive environment and to ensure that limited English proficient students acquire language skills to master academic content;

(4) Using and integrating technology-based supports and interventions as part of the instructional program; and

(5) In secondary schools—

   (a) Increasing rigor by offering opportunities for students to enroll in advanced coursework, early-college high schools, dual enrollment programs, or thematic learning academies that prepare students for college and careers, including by providing appropriate supports designed to ensure that low-achieving students can take advantage of these programs and coursework;

   (b) Improving student transition from middle to high school through summer transition programs or freshman academies;

   (c) Increasing graduation rates through, for example, credit recovery programs, reengagement strategies, smaller learning communities, competency-based instruction and performance-based assessments, and acceleration of basic reading and mathematics skills; or

   (d) Establishing early-warning systems to identify students who may be at risk of failing to achieve to high standards or to graduate.

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