

NORTH DAKOTA DEPARTMENT OF  
**PUBLIC INSTRUCTION**

**ESSER III Application  
&  
Tracking Funds**

**July 12, 2021**

# American Rescue Plan Enacted

- The American Rescue Plan (ARP), was signed into law by President Biden on March 11, 2021. This legislation provides ESSER III funding for school districts.

Name	ND Allocation	Date Awarded	Deadline to Spend
CARES – ESSER I	\$33.3 million	April 2020	Sept 30, 2022
CRRSA – ESSR II	\$135.9 million	December 2020	Sept 30, 2023
ARP – ESSER III	\$305.3 million	March 2021	Sept 30, 2024


# Training Purpose

- The purpose of today's training is to share with school personnel the final process to complete applications for ESSER III funding and tracking.
- Funds were made available and Grant Awards signed before the full application process was completed.

# District Assurances

- Allowable use of funds categories
- Education Department General Administrative Regulations (EDGAR)
- Uniform Grant Guidance-administrative requirements, cost principles and audit requirements for federal awards (UGG)
- General Education Provision Act (GEPA)
- American Rescue Plan Act provisions

# Grant Components



Dashboard

Funding Opportunities

Applications

Grants

My Profile

11755 - ESSER III 3/20-9/24 84.425U - 2021

Status: **Underway**

Program Area: CARES-CRRSA-ARP

Funding Opportunity: 9830-ESSER III - Elementary and Secondary School Emergency Relief Fund III

Organization: BaseLine Organization

Program Officer: Rebecca Pollert

Awarded Amount: \$5,500.00

Grant Components

The grant forms appear below.

Component	Last Edited
General Information	Jul 11, 2021 8:22 AM - Rebecca Pollert
Claims (Request for Funds)	Jun 23, 2021 3:48 PM - System Administrator
Application *DUE AUG 17th*	-
Encumbrances	-
Correspondence	-
Contract (Grant Award)	May 18, 2021 9:59 AM - Rebecca Pollert
Additional Documentation	May 18, 2021 10:03 AM - Rebecca Pollert
ESSER II & III Budget	Jun 23, 2021 6:39 PM - Rebecca Pollert
Funding Opportunity	

# WebGrants Application **\*\*DUE AUG 17\*\***

11755 - ESSER III 3/20-9/24 84.425U - 2021

Status:

Underway

Program Area:

CARES-CRRSA-ARP

Funding Opportunity:

9830-ESSER III - Elementary and Secondary School E

Organization:

BaseLine Organization

Program Officer:

Rebecca Pollert

Awarded Amount:

\$5,500.00

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The grant forms appear below.

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General Information	Jul
Claims (Request for Funds)	Jun
Application *DUE AUG 17th*	-
Encumbrances	-
Correspondence	-
Contract (Grant Award)	Ma
Additional Documentation	Ma
ESSER II & III Budget	Jun
Funding Opportunity	

# Status Report

11755 - ESSER III 3/20-9/24 84.425U - 2021

Status: **Underway**  
Program Area: CARES-CRRSA-ARP  
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[Grant List](#) [Genera](#) [Claims](#) [Applic](#) [Encumb](#) [Corres](#) [Contra](#) [Additi](#) [ESSER](#)

## Status Reports

[+ Add Status Report](#)

All status reports created for this grant appear below.

ID	Type	Status	Reporting Period	Due Date	Submitted Date	Arrived
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No data available in table

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# Status Report Type

## General Information - Status Report - Edit

 Save Form

In the form below, complete all required fields. Enter the period of coverage for the information detailed on this report. Select the starting day and the ending day. All statuses and activity reported on this report should have occurred during this period of time.

Status\*:

Sub Type\*:

Application

Report Dates:

Start Date

End Date



# Requirements within the ARP Act

- In-person instruction and continuity of services plan
- Learning loss set-aside requirement (20%)
- Evidence-based interventions requirement
- Maintenance of equity requirement
- ESSER III district application requirements

# Report Details

Status Report: 001

Status Report Status: Editing

Grant Title: 11755 - ESSER III 3/20-9/24 84.425U

Program Area: CARES-CRRSA-ARP

Funding Opportunity: 9830-ESSER III - Elementary and Secondary School Emergency Relief Fund III

Reporting Period: -

Status Report Type: Application

Submitted By: -

Status Report PreviewAlert HistoryMap

Status Report Details

✕ Withdraw

📄 Copy

🔍 Preview Status Report


Status Report cannot be Submitted Currently

- Status Report components are not complete

Component	Complete?	Last Edited
General Information	✓	Jul 11, 2021 8:43 AM - NDDPI External Assistance
ESSER III Application - Stakeholder Consultation		Jul 11, 2021 8:45 AM - NDDPI External Assistance
ESSER III Application		Jul 11, 2021 8:45 AM - NDDPI External Assistance
Estimated Use of Funds Plan		Jul 11, 2021 8:46 AM - NDDPI External Assistance
Compliance with General Education Provisions Act Section 427	-	

# Stakeholder Consultation

## Stakeholder Consultation

 Save Form


Seeking input from diverse stakeholders is essential to developing plans for the use of ARP ESSER funds that are responsive to the needs of students, families, and educators.


Describe how the district consulted with a wide variety of stakeholders when developing the spending plan. Description should include how the LEA provided the public the opportunity to provide input in the development of the plan, and how the LEA took such input into account.

Address how LEA specifically engaged with EACH:



# Transparency & Public Access to Applications


 **ESSER III Approved Applications**



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District confirms the approved ESSER III application will be posted to their website for public access.\*:

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# Prevention & Mitigation Strategies

☰

Prevention & Mitigation Strategies

Save Form

How the LEA will implement, to the greatest extent practicable, prevention and mitigation policies in line with the most up-to-date guidance from the Centers for Disease Control and Prevention ("CDC") for the reopening and operation of school facilities to effectively maintain the health and safety of students, educators, and other staff;

Return to In-Person Instruction Plan\*:

https://www.nd.gov/dpi/sites/www/files/docu

LEA Website Link (copy from browser-must include http)

District confirms the plan will be updated at least every six months through September 2024 and will seek ongoing public input on the plan.\*:

Yes

No

Describe how ARP ESSER III funds will be used by the district to implement prevention and mitigation strategies.\*:

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Save Form



# Learning Loss

## Learning Loss

 Save Form

Activities paid with the 80% of ESSER III funding do not have to meet the evidence-based requirement. However, activities coded to the 20% required learning loss set-aside do need to meet one of the four tiers of evidence-based interventions.


"Evidence-based interventions and practices" are defined under section 8101(21)(A) of the ESEA.

- a. Strong evidence from at least one well-designed and well-implemented experimental study ("Tier 1");
- b. Moderate evidence from at least one well-designed and well-implemented quasi-experimental study ("Tier 2"); or
- c. Promising evidence from at least one well-designed and well-implemented correlational study with statistical controls for selection bias ("Tier 3"); or
- d. Demonstrates a rationale based on high-quality research findings or positive evaluation that such activity, strategy, or intervention is likely to improve student outcomes or other relevant outcomes and includes ongoing efforts to examine the effects of such activity, strategy, or intervention ("Tier 4").


The guidance outlines numerous activities that can be coded to the 20% learning loss requirement, most of which are direct student services (summer school, afterschool, extended year). In addition, the guidance indicates that curriculum, professional development, and embedded assessments can be coded to learning loss if they are evidence-based.

\*Districts will need to reference or include supporting documentation, research articles, websites, etc., that support the practices utilized when the desk audits occur.


**Describe how the district will use the mandatory 20% set-aside to address the academic impact of learning loss through the implementation of evidence-based interventions.\*:**



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 Save Form

# Students Disproportionately Impacted

 **Needs of Students Disproportionately Impacted**

Save Form

How the district will respond to the needs of students disproportionately impacted by the COVID-19 pandemic, including students from low-income families, students of color, English learners, children with disabilities, students experiencing homelessness, children and youth in foster care, and migratory students.

Describe how the district will respond to the needs of students disproportionately impacted by the COVID-19 pandemic. Address each stakeholder group.\*:


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Save Form



# Estimated Use of Funds Plan


[Report List](#) [Genera](#) [ESSER](#) [ESSER](#) [Estima](#) [Compli](#)

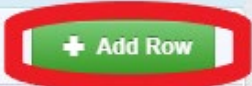
 **Estimated Use of Funds Plan** - Current Version

Click +Add Row to choose from the Allowable Use of Funds list, provide total Estimated Expenditure Amount, and Estimated Learning Loss Amount for each.


**\*\*20% of the TOTAL budget must be allocated to address Learning Loss. This does not need to be 20% of each allowable use of funds.**

Click +Add Row to add as all estimated Allowable Uses of Funds.

 **Allowable Use of Funds** - Multi-List




Allowable Use of Funds	Estimated Expenditure Amount	Estimated Amount to address Learning Loss under this Use of Funds (20% of Budget Total MUST be spent on Learning Loss)
No Data for Table		
Last Edited By: NDDPI External Assistance - Jul 11, 2021 8:46 AM		



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# Allowable Use of Funds

 Allowable Use of Funds

Save Row

Allowable Use of Funds\*:

Added needs of at-risk populations

Estimated Expenditure Amount\*:

\$0

Estimated Amount to address Learning Loss under this Use of Funds (20% of Budget Total MUST be spent on Learning Loss):

\$0

Save Row

# Estimated Use of Funds Totals

Report List   Genera   ESSER   ESSER   **Estima**   Compli

## Estimated Use of Funds Plan - Current Version

Click +Add Row to choose from the Allowable Use of Funds list, provide total Estimated Expenditure Amount, and Estimated Learning Loss Amount for each.

\*\*20% of the **TOTAL** budget must be allocated to address Learning Loss. This does not need to be 20% of each allowable use of funds.

Click +Add Row to add as all estimated Allowable Uses of Funds.

### Allowable Use of Funds - Multi-List

✓ Mark as Complete

+ Add Row

Allowable Use of Funds	Estimated Expenditure Amount	Estimated Amount to address Learning Loss under this Use of Funds (20% of Budget Total MUST be spent on Learning Loss)
Added needs of at-risk populations	\$2,500.00	\$0.00
High quality instructional materials and curricula	\$2,000.00	\$2,000.00
Professional development	\$500.00	\$500.00
Purchase cleaning supplies	\$500.00	\$0.00
	<b>\$5,500.00</b>	<b>\$2,500.00</b>

Last Edited By: NDDPI External Assistance - Jul 11, 2021 9:34 AM

+ Add Row

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# Compliance with General Education Provisions Act Section 427 (GEPA)

Compliance with General Education Provisions Act Section 427

Save Form

The General Education Provisions Act (GEPA) Section 427 highlights six types of barriers that can impede equitable access or participation in federally funded programs: gender, race, national origin, color, disability, or age. As part of ESSER and GEER grant applications, LEAs need to include a succinct description of steps to be taken to overcome the barriers that are applicable to their circumstances. One description is required for each program type tied to budget expenses (not for each budget item).

**Example that meets requirement:**  
The LEA provides a specific and succinct description for each program type in the application of how it will comply with Section 427, connected to the related topics/budget items listed. The description ensures equitable access to, and participation in the funded activities for students, teachers, and other program beneficiaries with special needs.

**Example that does not meet requirement:**  
The LEA provides a description of the funded activity, but the description does not include how it will ensure equitable access to, and participation in the funded activity for students, teachers, and other program beneficiaries with special needs.

What barriers exist in your community that may prevent students, teachers, and other program beneficiaries from access or participation in the funded projects or activities listed in the application?\*


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What steps are being taken to address or overcome these barriers? \*

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# Submitting Application



## Grant

List of all current grants

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### Status Report: 001

Status Report Status: **Editing**

Grant Title: 11755 - ESSER III 3/20-9/24 84.425U

Program Area: CARES-CRRSA-ARP

Funding Opportunity: 9830-ESSER III - Elementary and Secondary School Emergency Relief Fund III

Reporting Period: -

Status Report Type: Application

Submitted By: -

[Status Report Preview](#) [Alert History](#) [Map](#)

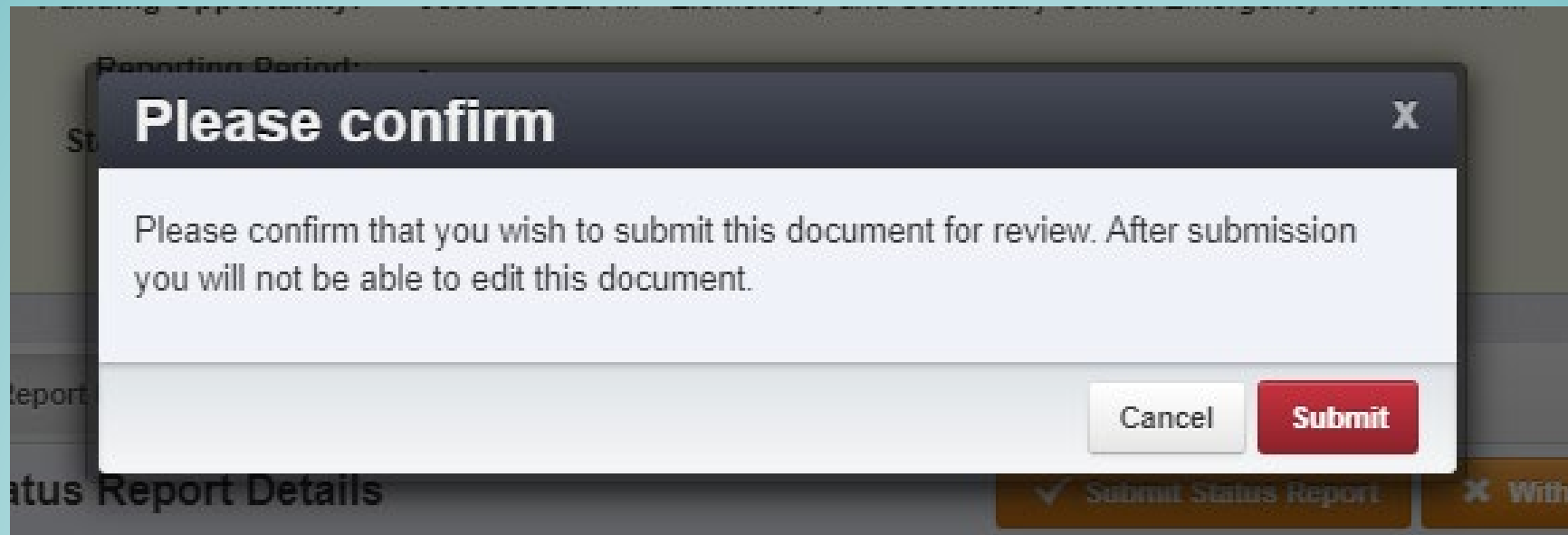
### Status Report Details

[✓ Submit Status Report](#) [✗ Withdraw](#) [Copy](#) [Preview Status Report](#)


• Status Report is in compliance and is ready for Submission!

Component	Complete?	Last Edited
General Information	✓	Jul 11, 2021 8:43 AM - NDDPI External Assistance
ESSER III Application - Stakeholder Consultation	✓	Jul 11, 2021 9:08 AM - NDDPI External Assistance
ESSER III Application	✓	Jul 11, 2021 9:20 AM - NDDPI External Assistance
Estimated Use of Funds Plan	✓	Jul 11, 2021 9:34 AM - NDDPI External Assistance
Compliance with General Education Provisions Act Section 427	✓	Jul 11, 2021 9:45 AM - NDDPI External Assistance

# Submitting Application (cont.)



# Submitted Application

 **NDDPI External Assistance**  
External User  
Contract Signature - Level 2, Site  
Vendor Review - See only you


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 **Grant**  
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11755 - ESSER III 3/20-9/24 84.425U - 2021

Status: **Underway**

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[Grant List](#) [Genera](#) [Claims](#) [Applic](#) [Encumb](#) [Corres](#) [Contra](#) [Additi](#) [ESSER](#)

 **Status Reports** [+ Add Status Report](#)

All status reports created for this grant appear below.

ID	Type	Status	Reporting Period	Due Date	Submitted Date	Arrived
11755 - 001	Application	<b>Submitted</b>	-		Jul 11, 2021 9:49 AM	

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# Approved Application

11755 - ESSER III 3/20-9/24 84.425U - 2021

Status:

Underway

Program Area:

CARES-CRRSA-ARP

Funding Opportunity:

9830-ESSER III - Elementary and Secondary School Emergency Relief Fund III

Organization:

BaseLine Organization

Program Officer:

Rebecca Pollert

Awarded Amount:

\$5,500.00

Grant List

Genera

Claims

Applic

Approp

Encumb

Corres

Contra

Additi

ESSER

Status Reports

Notes (0)

Add Status Report

ID	Type	Status	Reporting Period	Due Date	Submitted Date	Arrived
11755 - 001	Application	Approved	-		Jul 11, 2021 9:49 AM	

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# Printing Application

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[Status Report Preview](#) [Alert History](#) [Map](#)

[📄 Status Report Details](#)

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## 11755 - ESSER III 3/20-9/24 84.425U - 2021

### Status Report Details

Funding Opportunity:	9830-ESSER III - Elementary and Secondary School Emergency Relief Fund III	Initial Submit Date:	Jul 11, 2021 9:49 AM
Program Area:	CARES-CRRSA-ARP	Initially Submitted By:	NDDPI External Assistance
Status:	Approved	Last Submit Date:	
Status Report Number:	001	Last Submitted By:	
Status Report Type:	Application	Approved Date:	Jul 11, 2021 9:57 AM
Reporting Period:	-		

# Capital Expenses Requests

- Recipients of federal funds must get prior written approval before spending funds on **capital expenditures**. (2 CFR § 200 436 (b)(1)). Capital expenditures include the funds an entity spends to buy, maintain, or improve its fixed assets, such as buildings, vehicles, equipment, or land. The standard threshold for getting prior approval is \$5,000.
- This [SFN 61974](#) can be submitted to Lisa Johnson at [lisaajohnson@nd.gov](mailto:lisaajohnson@nd.gov).
- Districts will also need to submit [SFN 52304](#) (School Construction Approval Request) for any requests in excess of \$150,000 to Adam Tescher at [atescher@nd.gov](mailto:atescher@nd.gov)..

# New Maintenance of Equity Requirement

- The ARP contains a new maintenance of equity requirement for both the state and school districts for each of FYs 2022 and 2023.
- This provision is aimed at protecting funding levels for districts and schools that have high percentages of students living in poverty.
- SEAs cannot reduce per-student funding in said districts by more than statewide reductions, and school districts cannot reduce funding or staffing per student in schools with high rates of student living in poverty by more than district-wide funding or staffing reductions.

# Exceptions to LEA MOEquity

- LEAs with fewer than 1000 students
- Single-school LEAs
- LEAs with one school per grade span
- LEAs that demonstrate an exceptional or uncontrollable circumstance, such as unpredictable changes in student enrollment or a precipitous decline in the financial resources of such agency, as determined by the Secretary of Education.

# Tracking/Coding Funds

- Districts must track these funds separately to report use of funds and expenses in the future. The following codes have been established and align with NDSDFARM.
  - Revenue Coding: 4590 – Other Restricted Federal Revenue
  - Project Expense Coding: 110 – ESSER III
  - Learning Loss Coding: 112 – ESSER III
  - Program Code 298 should only be used with function code 1000. If using a function code other than 1000, use the program code identified in the NDSDFARM manual for each expenditure.



# Tracking Learning Loss Expenditures

Claims will be paid based upon amounts entered into Total Expenses this Period fields.

Please use the Match Expenses column to list expenditures coded to meet Learning Loss requirements.

**\*20% of budget total MUST be spent on activities to address Learning Loss\***

 Reimbursement - [Edit](#)

[illegible]



# Source Year Tracking

Grantee

Grantee Name	Grantee Contact Person NDDPI External Assistance	Grantee SAM.gov Entity ID 1111111	
Grantee Telephone Number (456) 538-8700	Grantee Email Address <a href="mailto:rlpollert@nd.gov">rlpollert@nd.gov</a>		
Grantee Address 123 Main Street	Grantee City and State Leesburg, Virginia	Grantee Zip Code +4 20175	

Grant Award Information

Type of Award	Project Period (MM/DD/YYYY)	Basis for Award
Federal Grant	From: 3/13/2020 To: 9/30/2024	Formula

Funding Source

FAIN Number (Award Number)	CFDA Number	Federal Awarding Agency	Federal Award Date	CFDA Project Name/Description	Total Amount to State under FAIN Number (Award Amount)
S425U210007	84.425U	US Dept of Education	3/24/21	American Rescue Plan – Elementary and Secondary Schools Emergency Relief Fund	\$305,266,879.00

Is this an R&D Grant?

no

Indirect Cost rate for Award

0.0%

Financial Information		
1. Amount of Grant Award \$5,500.00	2. Previous Funds Awarded for Project \$0	Total Funds Awarded (Box 1 plus Box 2) \$5,500.00

A local educational agency that receives funds under this title may use the funds for any of the following:

- Any activity authorized by the ESEA of 1965, including the Native Hawaiian Education Act and the Alaska Native Educational Equity, Support, and Assistance Act (20 U.S.C. 6301 et seq.), the Individuals with Disabilities Education Act (20 U.S.C. 1400 et seq.) ("IDEA"), the Adult Education and Family Literacy Act (20 U.S.C. 1400 et seq.), the Carl D. Perkins Career and Technical Education Act of 2006 (20 U.S.C. 2301 et seq.) ("the Perkins Act"), or subtitle B of title VII of the McKinney-Vento Homeless Assistance Act (42 U.S.C. 11431 et seq.).