MONEY BROKER ACTIVITY REPORT

DEPARTMENT OF FINANCIAL INSTITUTIONS
CONSUMER DIVISION
SFN 62753 (8-2026)

Company Name
NMLS ID

Note: ANNUAL Money Broker activity in North Dakota. The figures must represent the quantity and total dollar amount of transactions completed in North Dakota defined as “Money Brokering” activities, for a 12-month period ending December 31 of each year, as well as the most recent third quarter end year to date total prior to this renewal application.

North Dakota Century Code (N.D.C.C.) Chapter 13-04.1-01.1(5) Money Brokers states the following: “Money brokering means the act of arranging or providing loans or leases as a form of financing, or advertising or soliciting either in print, by letter, in person, or otherwise, the right to find lenders or provide loans or leases for persons or businesses desirous of obtaining funds for any purposes.”

SECTION I - MONEY BROKER ACTIVITY REPORT

North Dakota Money Broker Activity	12/31/ _____	12/31/ _____	9/30/ _____
1. Number of transactions completed in North Dakota defined as "Money Brokering" activities (as defined in N.D.C.C. Chapter 13-04.1-01.1(5) Money Brokers):			
2. Total dollar amount of transactions completed in North Dakota defined as "Money Brokering" activities (as defined in N.D.C.C. Chapter 13-04.1-01.1(5) Money Brokers):			
3. Total number of complaints received from North Dakota residents or companies:			
4. Total number of complaints reported to the CFPB concerning your company (the licensed entity) by North Dakota residents or companies:			

SECTION II - MONEY BROKER BUSINESS MODEL

Please briefly describe your company's (the licensed entity's) business model and activity conducted in North Dakota: