Post Examination Survey
Safety and Soundness Exam

Please note that this form is not an online form. Upon completion, please print or save the form and either mail, fax, or email it to the following:

Chief Examiner Lise Kruse (lkruse@nd.gov)
North Dakota Department of Financial Institutions
2000 Schafer Street, Suite G, Bismarck, ND 58501-1204
Fax: (701) 328-0290

For each of the statements listed below, please mark whether you strongly disagree, somewhat disagree, neither agree nor disagree, somewhat agree, or strongly agree by checking the appropriate box. Please note that the response scale is ordered from strongly disagree on the left to strongly agree on the right.

1. Pre-examination Process

	Strongly disagree	Somewhat disagree	Neither agree nor disagree	Somewhat agree	Strongly agree
a. The lead-time was sufficient to gather the information requested prior to the onsite examination.	☐	☐	☐	☐	☐
b. The pre-examination requests were reasonable in scope.	☐	☐	☐	☐	☐
c. The pre-examination request made the examination run more efficiently	☐	☐	☐	☐	☐

d. Recommendations to improve the pre-examination process:

2. Examiners

	Strongly disagree	Somewhat disagree	Neither agree nor disagree	Somewhat agree	Strongly agree
a. The examiners described the examinations scope and objectives effectively.	☐	☐	☐	☐	☐
b. The examiners were knowledgeable about your institution.	☐	☐	☐	☐	☐
c. The examiners demonstrated a thorough understanding of safety and soundness issues.	☐	☐	☐	☐	☐
d. The examiners were responsive to your questions and concerns.	☐	☐	☐	☐	☐
e. The examiners communicated effectively with management throughout the examination.	☐	☐	☐	☐	☐
f. The examiners treated you professionally.	☐	☐	☐	☐	☐

g. Recommendations regarding the examiners:

3. Examination Process

	Strongly disagree	Somewhat disagree	Neither agree nor disagree	Somewhat agree	Strongly agree
a. All major findings of the examination were discussed with you prior to the examiners leaving your institution.	☐	☐	☐	☐	☐
b. Senior management officials were given the opportunity to react to the examination findings.	☐	☐	☐	☐	☐
c. The examination was completed in a reasonable timeframe.	☐	☐	☐	☐	☐

d. Recommendations to improve the examination process:

4. Examination Report

	Strongly disagree	Somewhat disagree	Neither agree nor disagree	Somewhat agree	Strongly agree
a. The report accurately reflected the examination findings as conveyed to you during the examination.	☐	☐	☐	☐	☐
b. The report was easy to understand.	☐	☐	☐	☐	☐
c. The report accurately portrayed your institution's practices and condition.	☐	☐	☐	☐	☐
d. The transmittal letter and other written communications concerning the examination report were clear and concise.	☐	☐	☐	☐	☐
e. The report contained useful recommendations for improving safety and soundness practices (if necessary)	☐	☐	☐	☐	☐

f. Recommendations to improve the examination report:

5. Overall

	Strongly disagree	Somewhat disagree	Neither agree nor disagree	Somewhat agree	Strongly agree
a. The examination findings will assist you in enhancing your safety and soundness practices.	☐	☐	☐	☐	☐
b. The examination was conducted in a fair and objective manner.	☐	☐	☐	☐	☐

c. Other comments or recommendations:

6. Optional

Name of Institution:		**City:**	
Contact Person:		**Title:**	