

STATE OF NORTH DAKOTA
SECURITIES DEPARTMENT

In the Matter of Halcyon Cabot
Partners, Ltd.,

Respondent.

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**ORDER TO REVOKE THE
BROKER-DEALER REGISTRATION
OF HALCYON CABOT PARTNERS, LTD.**

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On October 12, 2015, the North Dakota Securities Commissioner issued Findings of Fact, Conclusions of Law, Order to Summarily Suspend Broker-Dealer Registration, Notice of Proposed Order to Revoke Broker-Dealer Registration, and Notice of Right to Request a Hearing. The Respondent received this Order on November 3, 2015. The Respondent did not request a hearing and the time to request a hearing has expired.

The Securities Commissioner has found that the Respondent engaged in multiple violations of the Securities Act and that the Respondent has engaged in dishonest or unethical practices as defined by applicable administrative rules as set forth in said Order. These acts and practices are sufficient grounds to revoke the broker-dealer registration of the Respondent.

NOW, THEREFORE, the North Dakota Securities Commissioner hereby orders that the broker-dealer registration of Halcyon Cabot Partners, Ltd. is hereby revoked.

Signed And Sealed this 24th day of November, 2015.





Karen J. Tyler, Securities Commissioner
North Dakota Securities Department
600 East Boulevard Ave.
State Capitol – Fifth Floor
Bismarck, ND 58505-0510
(701) 328-2910

STATE OF NORTH DAKOTA
SECURITIES DEPARTMENT

In the Matter of Halcyon Cabot Partners, Ltd.,
Respondent.)
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) **AFFIDAVIT OF SERVICE BY MAIL**
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I, Jacqui Ferderer, being first duly sworn, state that I am a citizen of the United States over the age of twenty-one years and not a party to or interested in the above-entitled proceeding.

On November 25, 2015, I deposited in the Central Mailing Bureau of the United States Post Office Department in the State Capitol in Bismarck, North Dakota, a true and correct copy of the following document:

**ORDER TO REVOKE THE BROKER-DEALER REGISTRATION
OF HALCYON CABOT PARTNERS, LTD.**

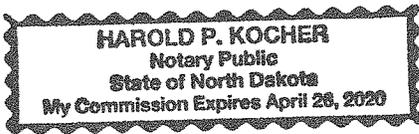
A copy of the above document was securely enclosed in an envelope with postage prepaid, sent via Certified U. S. mail, return receipt requested, and addressed to each of the following:

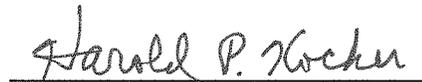
Ronald M. Heineman, CCO
Halcyon Cabot Partners, Ltd.
767 Third Avenue
17th Floor
New York, NY 10017

To the best of my knowledge, information, and belief, the address given above is the last known address of the party intended to be served.


Jacqui Ferderer

Subscribed and sworn to before me on this 25th day of November, 2015.




Harold P. Kocher, Notary Public
Burleigh County, North Dakota
My commission expires 4/26/2020