



AGREEMENT/WAIVER OF PARTICIPATION FOR OPTIONAL DEFINED CONTRIBUTION PLAN

NORTH DAKOTA PUBLIC EMPLOYEES RETIREMENT SYSTEM
SFN 54366 (Rev. 05-2009)

In compliance with the Federal Privacy Act of 1974, the disclosure of the individual's social security number on this form is mandatory pursuant to 26 U.S.C. Section 3402. The individual's social security number will be used for tax reporting and as an identification number.

NDPERS • PO Box 1657 • Bismarck • North Dakota 58502-1657
(701) 328- 3900 • 1-800-803-7377 • Fax 701-328-3920

PART A EMPLOYEE INFORMATION				
Name (Last, First, Middle)		Social Security Number		Plan Id: 57637
Address		City	State	Zip Code +4
Employer/Agency			Division/Department #	

PART B EMPLOYEE ACKNOWLEDGEMENT	
INITIAL ALL BOXES – REQUIRED	
<input type="checkbox"/>	I have elected to participate in the NDPERS Defined Contribution Plan through previous eligible employment.
<input type="checkbox"/>	I am an employee of a participating governmental unit and am filling a position that is not regularly funded or if it is regularly funded, I do not work twenty (20) or more hours a week for more than 20 weeks a year. My services may or may not be limited in duration.
<input type="checkbox"/>	I understand that upon electing to participate in the NDPERS Defined Contribution Plan as a temporary/part-time employee, I will be obligated to contribute monthly contributions to the plan and do not have access to these funds for any reason while I am employed with the state of North Dakota or a political subdivision.
<input type="checkbox"/>	I acknowledge that the monthly contribution I am obligated to pay is 9.26% of my gross monthly salary and this contribution must be submitted to my payroll officer no later than the 6th working day of the month for the previous month's salary.
<input type="checkbox"/>	I understand that NDPERS will forward the retirement contribution 8.12% to Fidelity Investments where it will be invested according to the asset allocation that I have selected. The remaining 1.14% retiree health credit portion will remain at NDPERS.
<input type="checkbox"/>	I understand that if I miss a payment of my retirement contribution to NDPERS for any reason other than an approved Leave of Absence, I will have thirty (30) days to bring my account up to date. Failure to do so will result in termination of my eligibility to participate for the remainder of the plan year as a temporary/ part-time employee.
<input type="checkbox"/>	I understand that if I terminate my employment and take a refund of my retirement monies, I will not be allowed to participate in NDPERS through future employment as a temporary/part-time employee.
<input type="checkbox"/>	I acknowledge that I cannot participate as both a temporary employee and a permanent employee. In the event that my employment qualifies me for participation as a full-time permanent employee, I must participate as such. Additional part-time employment cannot be included.

PART C AGREEMENT TO PARTICIPATE	
IF YOU ELECT TO PARTICIPATE: I understand the statements listed in Part B and certify that I am eligible under NDCC 54-52.6-02.9 to participate in the NDPERS Defined Contribution Plan. I elect to begin participating in the system effective _____. My hire date/change of status was effective _____.	
_____	_____
Signature of Applicant	Date
_____	_____
Signature of Authorized Agent	Date
_____	_____
Signature of Authorized NDPERS Representative	Date
FOR PERSONS WHO ELECT TO PARTICIPATE, THIS FORM MUST BE ACCOMPANIED BY A MEMBERSHIP ENROLLMENT FORM (SFN 2561) AND A DESIGNATION OF BENEFICIARY FORM (SFN 2560) TO BE VALID.	

PART D WAIVER OF PARTICIPATION	
IF YOU DECLINE TO PARTICIPATE: I understand that I can only elect to participate in the NDPERS Defined Contribution Plan as a temporary/part-time employee within the first six months of temporary employment or within six months of a change in status from permanent/full time to temporary/part-time and I decline to participate.	
_____	_____
Signature of Applicant	Date

ORIGINAL TO NDPERS – PLEASE RETAIN A PHOTOCOPY FOR YOUR RECORDS

INSTRUCTIONS

PART A: EMPLOYEE INFORMATION

Complete the following whether the employee is electing to participate or declining to participate in the Defined Contribution Plan:

- 1-2. Enter the employer's name and agency's department number.
- 3-5. Enter all employee information as requested.

PART B: EMPLOYEE ACKNOWLEDGEMENT

Complete the following whether the employee is electing to participate or declining to participate in the Defined Contribution Plan:

1. The employee must read each paragraph and indicate acknowledgement by initialing all boxes on the left side.

PART C: ELECTION TO PARTICIPATE AGREEMENT

(This section should be completed only if employee wishes to participate in optional Defined Contribution Plan).

1. The Authorized Agent must fill in the effective membership date.
2. The Authorized Agent must fill in the actual date of hire or change in employment status.
3. The employee must sign and date the form. The employee's signature must reflect the name as entered in Part A.
4. The department's authorized agent must sign and date the form.
5. This signature line will be signed and dated by authorized NDPERS staff and a copy will be mailed back to the department.

If steps 1 through 5 are not completed, the form will be returned.

PART D: WAIVER OF PARTICIPATION

1. The employee must sign and date this section only if the temporary employee waives participation in the Defined Contribution Plan.

FILING PROCEDURE: Original to NDPERS – Please retain a photocopy for your records.