STATE OF NORTH DAKOTA

BEFORE THE INSURANCE COMMISSIONER

In the Matter of	)	
	)	**CONSENT ORDER**
Nina Soliz,	)	
NPN 8894623,	)	**CASE NO. AG-16-637**
	)	
Respondent.	)	

TO: Nina Soliz, 9922 Sungate Park, San Antonio, TX 78245-2914

Insurance Commissioner Adam Hamm ("Commissioner") has determined as follows:

1. As a result of information obtained by the North Dakota Insurance Department ("Department") regarding the conduct of Nina Soliz, NPN 8894623 ("Respondent"), the Commissioner has considered scheduling a formal hearing to determine whether Respondent's conduct as alleged constitutes a basis for imposition of a civil penalty or any other action the Commissioner deems necessary. As more fully described below, Respondent's conduct is alleged to be in violation of N.D.C.C. §§ 26.1-26-15 and 26.1-26-45.1(2).

2. N.D.C.C. § 26.1-26-15 requires that an applicant for any license must be deemed by the Commissioner to be competent, trustworthy, financially responsible, and of good personal and business reputation.

3. N.D.C.C. § 26.1-26-45.1 states, in part:

> **26.1-26-45.1. Reporting of actions.**
>
> 2. Within thirty days after a criminal conviction, an insurance producer shall report to the commissioner any criminal conviction of the insurance producer taken in any jurisdiction. The report must include a copy of the

initial complaint, the order issued by the court, and any other relevant legal documents.

4. Respondent has been licensed as a nonresident insurance producer in North Dakota since January 9, 2012.

5. On or about April 29, 2016, Respondent submitted an application to renew a nonresident insurance producer license. On the renewal application, Respondent answered "yes" to background question 1A relating to misdemeanor convictions. Respondent submitted documentation relating to a September 16, 2015, conviction of Assault Bodily Injury-Family-Household. The conviction should have been reported to the Department by October 16, 2015, and it was not. Respondent's failure to report a criminal conviction within 30 days is in violation of N.D.C.C. §§ 26.1-26-15 and 26.1-26-45.1(2).

6. Respondent's actions constitute violations of N.D.C.C. §§ 26.1-26-15 and 26.1-26-45.1(2).

7. Respondent acknowledges that at the time of signing the Consent to Entry of Order, she was aware of or had been advised of the right to a hearing in this matter, to consult an attorney, to present argument to the Commissioner, to appeal from any adverse determination after a hearing, and Respondent expressly waives those rights.

8. Respondent has agreed to informal disposition of this matter, without a hearing, as provided under N.D.C.C. § 28-32-22.

9. For purposes of resolving this matter without further administrative proceedings, Respondent has agreed to enter into the following order.

NOW, THEREFORE, IT IS HEREBY ORDERED THAT:

1. Respondent agrees to pay a fine in the amount of $100 payable by money order, cashier's check, or credit card to the North Dakota Insurance Department within 20 days of the effective date of this Order.

2. Respondent agrees to issuance by the Commissioner of a conditional license for a period of one year. After expiration of one year, the license will remain conditional for 61 days, to allow the Department to determine whether Respondent completed probation successfully or to enforce any violation of this agreement that occurred during the five-year conditional period. After the aforementioned time period has expired, the license will become unrestricted if no enforcement action has been initiated.

3. Respondent agrees that the Department may, during the one-year conditional license period and the 61-day review period allowed under paragraph 2, revoke, suspend, or take such further action as may be deemed necessary against Respondent's insurance license without Notice of Hearing or the issuance of a Complaint if the Department receives a complaint from any source against Respondent and, after investigation of the merits of said complaint, notifies the Respondent that Respondent has, in the Commissioner's opinion, violated the laws of the State of North Dakota. Respondent further agrees that any action taken against Respondent's license or in furtherance of this action is final and not appealable.

4. The use of this Consent Order for competitive purposes by an insurance producer or agency holding a license in the State of North Dakota, or by any company holding a Certificate of Authority, or by anyone on their behalf, may be deemed unfair competition and be grounds for suspension or revocation of said license or authority.

DATED at Bismarck, North Dakota, this 19th day of October, 2016.

Adam Hamm
Insurance Commissioner
State of North Dakota

CONSENT TO ENTRY OF ORDER

The undersigned, **Nina Soliz**, states that she has read the foregoing Consent Order, that she knows and fully understands its contents and effect; that she has been advised of the right to a hearing in this matter, the right to be represented by legal counsel, the right to present evidence and arguments to the Commissioner, and the right to appeal from an adverse determination after hearing; and that by the signing of this Consent to Entry of Order she waives those rights in their entirety, and consents to entry of this Order by the Commissioner. It is further expressly understood that this Order constitutes the entire settlement agreement between the parties, there being no other promises or agreements, either expressed or implied.

DATED this 19 day of September, 2016.

Nina Soliz

Nina Soliz

County of Bexar
State of Texas

Subscribed and sworn to before me this 19 day of September, 2016.

Notary Public

My commission expires: 3/1/2020

MAHER KHAFAJI ZADEH
Notary Public, State of Texas
Comm. Expires 03-01-2020
Notary ID 130562256