

**STATE OF NORTH DAKOTA**  
**BEFORE THE INSURANCE COMMISSIONER**

In the Matter of	)	
	)	<b>CONSENT ORDER</b>
Diana L. Roberts,	)	
NPN 7446144,	)	<b>CASE NO. AG-13-442</b>
	)	
<b>Respondent.</b>	)	

**TO: Diana L. Roberts, 2507 North National Avenue, Springfield, MO 65803**

Insurance Commissioner Adam Hamm (“Commissioner”) has determined as follows:

1. As a result of information obtained by the North Dakota Insurance Department (“Department”) regarding the conduct of Diana L. Roberts, NPN 7446144 (“Respondent”), the Commissioner has considered scheduling a formal hearing to determine whether Respondent’s conduct as alleged constitutes a basis for imposition of a civil penalty or any other action the Commissioner deems necessary. As more fully described below, Respondent’s conduct is alleged to be in violation of N.D.C.C. §§ 26.1-26-15 and 26.1-26-42(1).

2. N.D.C.C. § 26.1-26-15 requires that an applicant for a license must be deemed by the Commissioner to be competent, trustworthy, financially responsible, and of good personal and business reputation.

3. N.D.C.C. § 26.1-26-42 states, in part:

The commissioner may suspend, revoke, place on probation, or refuse to continue or refuse to issue any

license issued under this chapter if, after notice to the licensee and hearing, the commissioner finds as to the licensee any of the following conditions:

1. A materially untrue statement in the license application.
4. Respondent has held a North Dakota nonresident individual insurance producer license since August 28, 2013. On the initial application, Respondent answered "no" to background question one which asks, "Have you ever been convicted of a crime, had a judgment withheld, or deferred, or are you currently charged with committing a crime?" On or about November 7, 2013, the Department was notified that Respondent submitted documents to the Attachment Warehouse regarding a criminal conviction of Resisting/Interfering With Arrest, Detention or Stop in 2009. Respondent's failure to disclose the criminal conviction on the initial license application is in violation of N.D.C.C. §§ 26.1-26-15 and 26.1-26-42(1).
5. Respondent acknowledges that at the time of signing the Consent to Entry of Order, she was aware of or had been advised of the right to a hearing in this matter, to consult an attorney, to present argument to the Commissioner, to appeal from any adverse determination after a hearing, and Respondent expressly waives those rights.
6. Respondent has agreed to informal disposition of this matter, without a hearing, as provided under N.D.C.C. § 28-32-22.
7. For purposes of resolving this matter without further administrative proceedings, Respondent has agreed to enter into the following order.

NOW, THEREFORE, IT IS HEREBY ORDERED THAT:

1. Respondent agrees to pay a fine in the amount of \$200 payable by money order, cashier's check, or credit card to the North Dakota Insurance Department within 20 days of the effective date of this Order.

2. The use of this Consent Order for competitive purposes by an insurance producer or agency holding a license in the State of North Dakota, or by any company holding a Certificate of Authority, or by anyone on their behalf, may be deemed unfair competition and be grounds for suspension or revocation of said license or authority.

DATED at Bismarck, North Dakota, this 8 day of January, 2014.



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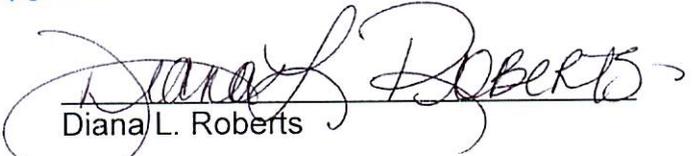
Adam Hamm  
Insurance Commissioner  
State of North Dakota

#### CONSENT TO ENTRY OF ORDER

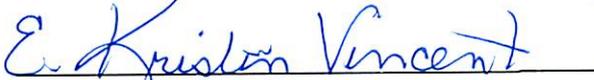
The undersigned, **Diana L. Roberts**, states that she has read the foregoing Consent Order, that she knows and fully understands its contents and effect; that she has been advised of the right to a hearing in this matter, the right to be represented by legal counsel, the right to present evidence and arguments to the Commissioner, and the right to appeal from an adverse determination after hearing; and that by the signing of this Consent to Entry of Order he waives those rights in their entirety, and consents to entry of this Order by the Commissioner. It is further expressly understood that this

Order constitutes the entire settlement agreement between the parties, there being no other promises or agreements, either expressed or implied.

DATED this 28 day of December, 2013.

  
Diana L. Roberts

Subscribed and sworn to before me this 28 day of December, 2013.

  
Notary Public

County of Henry  
State of Missouri

My commission expires: 07/20/17

